



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

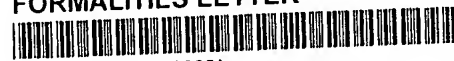
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,172	12/06/2001	Kevin P. Baker	P2830P1C11

Ginger R. Dreger  
Knobbe Martens Olson & Bear  
Suite 1150  
201 California Street  
San Francisco, CA 94111



CONFIRMATION NO. 1455

## FORMALITIES LETTER



\*OC000000007302262\*

Date Mailed: 01/14/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

S.D.  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
www.uspto.gov

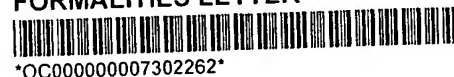
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

GNE.2830P1C11



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baker et al. ) Group Art Unit Unknown  
Appl. No. : 10/006,172 )  
Filed : December 6, 2001 )  
For : SECRETED AND )  
TRANSMEMBRANE )  
POLYPEPTIDES AND )  
NUCLEIC ACIDS ENCODING )  
THE SAME )  
Examiner : Unknown )

REQUEST FOR CORRECTED FILING RECEIPT

United States Patent and Trademark Office  
P.O. Box 2327  
Office of Initial Patent Examination  
Customer Service Center  
Arlington, VA 22202

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a marked copy of which is enclosed, be corrected to reflect the priority claims of the above-referenced case. Although, the Transmittal Letter claims priority to five U.S. applications (p.2), the Filing Receipt presently only shows the Provisional and PCT applications priority claims. Please add the following U.S. applications as follows to page 2 of the Official Filing Receipt:

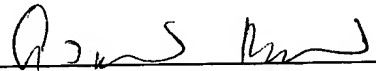
Appl. No. : 10/006,172  
Filed : December 6, 2001

1. 09/218,517, filed December 22, 1998
2. 09/284,291, filed April 12, 1999
3. 09/403,297, filed October 18, 1999
4. 09/872,035, filed June 1, 2001
5. 09/882,636, filed June 14, 2001

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 14, 2002

By: 

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(415) 954-4114

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030502

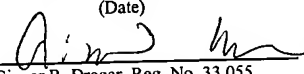


#8

GNE.2830P1C11

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baker et al. ) Group Art Unit Unknown  
Appl. No. : 10/006,172 ) I hereby certify that this correspondence and all  
Filed : December 6, 2001 ) marked attachments are being deposited with  
For : SECRETED AND ) the United States Postal Service as first-class  
TRANSMEMBRANE ) mail in an envelope addressed to:  
POLYPEPTIDES AND ) Commissioner for Patents, Washington, D.C.  
NUCLEIC ACIDS ENCODING ) 20231, on  
THE SAME )  
Examiner : UNKNOWN )  
March 14, 2002  
(Date)  
  
Ginger R. Dreger, Reg. No. 33,055

CORRECTION OF ADDRESS

United States Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

Dear Sir:

Please correct the address for all future communications relative to the above-referenced pending patent application to the following address:

Ginger R. Dreger  
Knobbe Martens Olson & Bear  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
Telephone: 949-760-0404

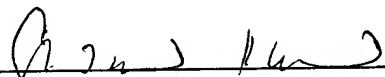
Appl. No. : 10/006,172  
Filed : December 6, 2001

Please use Customer No. 30, 313 for all communications.

Respectfully submitted,

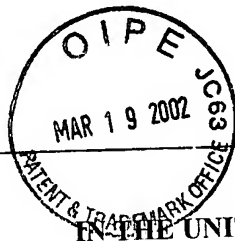
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 14, 2002

By: 

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(415) 954-4114

W:\DOCS\DKJ\DKJ-1123.DOC  
030502



Case Docket No. GNE.2830PIC11  
Date: March 14, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.  
Appl. No. : 10/006,172  
Filed : December 6, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Unknown  
Group Art Unit : 1645

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Commissioner for  
Patents, Washington, D.C. 20231, on

March 14, 2002

(Date)

Ginger R. Dreger, Reg. No. 33,055

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application is the following:

- (X) Amendment;
- (X) Sequence Submission Statement;
- (X) Sequence Listing diskette in computer readable form;
- (X) Substitute Sequence Listing;
- (X) Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;

PATENT

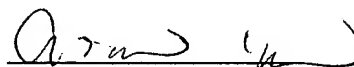
Case Docket No. GNE.2830P1C11

Date: March 14, 2002

- 
- (X) Correction of Address;
- (X) Request for Corrected Filing Receipt;
- (X) Copy of original Filing Receipt, with corrections marked in red;

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410; and

- (X) A return prepaid postcard.



Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

W:\DOCS\DKJ\DKJ-1145.DOC  
031402





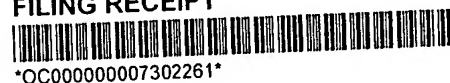
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,172	12/06/2001	1645	740	P2830P1C11	249	13	2

CONFIRMATION NO. 1455

## FILING RECEIPT



\*OC000000007302261\*

Ginger R. Dreger  
Knobbe Martens Olson & Bear  
Suite 1150  
201 California Street  
San Francisco, CA 94111

Date Mailed: 01/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin P. Baker, Darnestown, MD;  
David Botstein, Belmont, CA;  
Luc Desnoyers, San Francisco, CA;  
Dan L. Eaton, San Rafael, CA;  
Napoleone Ferrara, San Francisco, CA;  
Sherman Fong, Alameda, CA;  
Wei-Qiang Gao, Palo Alto, CA;  
Audrey Goddard, San Francisco, CA;  
Paul J. Godowski, Hillsborough, CA;  
J. Christopher Grimaldi, San Francisco, CA;  
Austin L. Gurney, Belmont, CA;  
Kenneth J. Hillan, San Francisco, CA;  
James Pan, Belmont, CA;  
Nicholas F. Paoni, Belmont, CA;  
Margaret Ann Roy, San Francisco, CA;  
Victoria Smith, Burlingame, CA;  
Timothy A. Stewart, San Francisco, CA;  
Daniel Tumas, Orinda, CA;  
Colin K. Watanabe, Moraga, CA;  
P. Mickey Williams, Half Moon Bay, CA;  
William I. Wood, Hillsborough, CA;

## Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001  
 AND CLAIMS BENEFIT OF 60/098,716 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,723 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,749 09/01/1998  
 AND CLAIMS BENEFIT OF 60/098,750 09/01/1998  
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 CLAIMS BENEFIT OF 60/101,743 09/24/1998  
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 AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

which claims benefits of  
 09/218,517 12/22/1998  
 and claims benefit of  
 09/284,291 4/12/1999  
 and claims benefit of  
 09/403,297 10/18/1999  
 and claims benefit of  
 09/872,035 6/1/2001  
 and claims benefit of  
 09/882,636 6/14/2001

AND CLAIMS BENEFIT OF 60/102,487 09/30/1998  
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AND CLAIMS BENEFIT OF 60/104,257 10/14/1998  
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AND CLAIMS BENEFIT OF 60/106,062 10/27/1998  
AND CLAIMS BENEFIT OF 60/106,178 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,248 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,384 10/29/1998  
AND CLAIMS BENEFIT OF 60/108,500 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,464 10/30/1998  
THIS APPLICATION 10/006,172  
CLAIMS BENEFIT OF 60/106,856 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,905 11/03/1998  
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AND CLAIMS BENEFIT OF 60/114,223 12/30/1998  
AND CLAIMS BENEFIT OF 60/129,674 04/16/1999  
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999  
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999  
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999  
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

#### Foreign Applications

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
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PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

If Required, Foreign Filing License Granted 01/11/2002

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

#### Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

## Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).